

CAL S POLICY ON CONFIDENTIAL PROTOCOLS IN SPONSORED RESEARCH
DRAFTED JANUARY, 2005

Date _____

Proposal Title _____

Proposal Number _____

Sponsor _____

Dear Researcher:

This note is intended to point out that the confidential elements of your agreement with the research sponsor have been removed from the agreement, placed in a separate envelope, and are being returned to you for your files. There are legal provisions in place to exempt this confidential information from the open records law. Thus, your agreement will not, if it were to become subject to an open records law request, violate any confidentiality promised to the sponsor.

However, the confidentiality of this protocol must be maintained, and this could have an impact on the communication of research results through publication and/or presentation. For this reason, at a future date you may wish to consider making a request to the sponsor that you be allowed to include confidential information from the research protocol in your publications and presentations. Should this permission be granted, you will be able to include the confidential information that is a part of your research protocols in your publications and presentations. Should the sponsor not grant this permission, you will need to abide by the confidentiality provisions and this could limit your opportunities to publish and present your research.

It is especially important that you inform students, postdoctoral associates, and collaborators of the confidentiality provisions of the agreement if they are performing work governed by this agreement. This will enable them to comply with the terms of the agreement and inform them that publication of the work could be limited if the sponsor will not grant such permission. Furthermore, because a doctoral dissertation is a published work, it may not be possible for a doctoral student to complete his or her degree requirements if the sponsor will not grant permission to publish confidential information. You should therefore not have doctoral students conduct research relating to this agreement as part of their doctoral research.

Please feel free to contact the CALS Research Division with any questions or concerns about this information.

My signature below indicates I have read and understood the above policy.

PI name and signature

Date